Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Complete if Known		
			Application Number	09/891,218	
			Filing Date	June 26, 2001	
			First Named Inventor	Philippe Buhannic et al.	
			Group Art Unit	2161	
			Examiner Name		
Sheet 1	of	1	Attorney Docket Number	099593-5	

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.1	U.S. Patent Document Number Kind Code ² (if known)		lame of Patentee or Applicant of Cite Document	Date of Publication of Cite Document MM-DD-YYYY		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		5,101,353		upien et al.	Mar. 31, 1992	1 2			
1 ST -	 	5,915,209	 	awrence	Jun. 22, 1999		F11		
<u>e/ </u>	- 	6,014,643	M	linton	Jan. 11, 2000				
	-	6,029,146	Н	awkins et al.	Feb. 22, 2000	1 2			
AS	1	6,112,188	Н	artnett	Aug. 29, 2000		2 2		
100									
f							<u>60</u>		
	_1						O		
			F(OREIGN PATENT DOCU	UMENTS		(
Examiner Cite Initials No. 1	Foreign Patent Document Kind Code Office ³ Number ⁴ (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т°			
		Office Number	(y kilowiy						
<u> </u>	_L	OTHE	D DDIOD	ART – NON PATENT LITE	DATURE DOCUMENTS				
<u> </u>	 	OTHE	K PRIOR	ARI - NON PATENT LITE	RATURE DUCUMENTS				
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.)., date, page(s), volume-issue number(s), publisher, city and/or country where published.							
H		Merrill Lynch, Pierce, Fenner & Smith Inc., Merrill Lynch Online Services Page, pp. 1-3, from http://askmerrill.ml.com/online/, different investment opportunities are introduces (e/g/ Askmerril Mobile, Merril Lynch Presents Global Investor Network, Market Pulse, Portfolio Tracker etc.)							
B		detailed descriptio	n, and tl	080621 (De Prisco et a he advantage of this d	isclosure)	•			
N		Spreandeo, 19 Rul	es for T	raders by A Master of	f the Art, PP. 12-14, J	une 1991.			
Examiner Signature		mid	8		ate onsidered	1402			

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.